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Attorney Docket: 2091/49088CP  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WILIFRIED ANDREAS MAESTLE  
Serial No.: 09/781,964 Group Art Unit:  
Filed: FEBRUARY 14, 2001 Examiner:  
Title: MACHINE-IMPLEMENTABLE PROJECT FINANCE ANALYSIS  
AND NEGOTIATING TOOL SOFTWARE, METHOD AND  
SYSTEM

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed on or  
about October 1, 2001:

IN THE SPECIFICATION:

Amend the specification as follows:

Page 1, lines 6-9, following "COMPUTER PROGRAM LISTING APPENDIX"  
substitute the following:

--I attach, as a supplement to the first Microfiche Appendix of the Parent Application, duplicate compact disks which are 1\*2\*4\*6\*8\*12x compatible CR-Recordable 80 min/700 MB discs containing the following:

Name of file	Size – bytes	created	File type	Readable with
Cover.txt	538	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor
Var2-107.txt	164,658	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor
P108.†x†	1,183	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor
P109-112.†x†	3,789	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor
IP113-191.txt	117,709	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor
J192-205.txt	19,836	Nov. 26, 2001	ASCII text only	Microsoft Windows 2000 Notepad or any Wordprocessor

**Content of folder SupplementComputerCode**

Name of file	Size bytes	Created	File Type	Readable	Content on Duplicate Disc 1 in file
Cover Page and Table of Contents.doc	21,504	Feb. 13, 2001	Microsoft Word Document	Word (Office 2000)	Cover.txt
Variable Interest Rate.doc	439,808	Feb. 11, 2001	Microsoft Word Document	Word (Office 2000)	Var2-107.txt
Page 108.doc	28,672	Feb. 11, 2001	Microsoft Word Document	Word (Office 2000)	P108.txt
Page109-112.doc	38,912	Feb. 11, 2001	Microsoft Word Document	Word (Office 2000)	P109-112.txt
IntermediateProduct113-191.doc	595,456	Feb. 11, 2001	Microsoft Word Document	Word (Office 2000)	IP113-191.txt
JointProduct192-205.doc	114,688	Feb. 11, 2001	Microsoft Word Document	Word (Office 2000)	J192-205.txt

REMARKS

Responsive to the Notice to File Corrected Application Papers mailed on or about October 1, 2001, Applicant submits substitute drawings in accordance with 37 CFR §1.84.

Applicant also attaches a duplicate set of compact discs with only ASCII filed with covering documents showing the content of the duplicate discs, the machine format, D/S compatibility, a list of the files and their names, the byte size and the date of creation.

Applicant would note that in providing duplicate disks containing only the ASCII files, certain minor features contained on the original CD-ROM are not included such as colors and page numbers. Please note that certain flowcharts have been transcribed into code as indicated in Dr. Maestle's comments. Also, the flowcharts and Appendix found in the original CD-ROM cannot be converted to ASCII files. These flowcharts are included to the extent necessary, in the attached microfiche so as to be placed in the record of this case. Applicant submits that the flowcharts are additive and not necessary for one skilled in this art to make and use his invention. Furthermore, the spreadsheets have been transcribed into code as described in the applicant's attached statement. No new matter has been added.

Accordingly, applicant submits that all formalities have been addressed in full and in good faith.

If there are any questions regarding this Response or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket #2091/49088CP).

Respectfully submitted,

December 3, 2001



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UNITED STATES PATENT AND TRADEMARK OFFICE



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WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,964	02/14/2001	Wilfried A. Maestle	2091/49088CP

CONFIRMATION NO. 9756

Evenson, McKeown, Edwards & Lenahan, P.L.L.C.  
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Washington, DC 20005

FORMALITIES LETTER



\*0c000000006820091\*

Date Mailed: 10/01/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

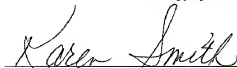
The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only a single copy of each disc was provided. A duplicate copy of each compact disc must be provided on Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.

This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes,

and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202